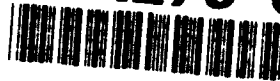


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ONR Grant #N00014-91-J-1540

Report Date: February 9, 1994

Quarter #: 11

Report Period: 11/01/93 - 1/31/94

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Title: Development and Enhancement of a Model of Performance and Decision Making Under Stress in a Real Life Setting

Institution: University of Maryland at Baltimore and  
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1390 94-05612



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## QUARTERLY REPORT

A large portion of the quarter has been taken up with video-analysis and administrative requirements for re-approval of the protocol by the Institutional Review Board. A few additional cases have been videotaped in conjunction with Holter monitoring and blood pressure (BP) recording of the anesthesia care providers.

### Video-analysis

The following tasks have been achieved:

1. Completion of subjective stressors scores on all videotapes #50-100. The seven subjective ratings of stress and stressors are being transcribed into the Paradox database. We will be using a Neural Networks analysis to determine which components among the six stressors are most predictive of the overall stress score.
2. Completion of intubation sequence analysis on 43 videotapes showing tracheal intubation. The intubation analysis form (Attachment #1) has been completed by subject matter experts other than those who participated in a given case, for 43 cases involving tracheal intubation (Airway Management). Sixteen were in elective circumstances, eleven semi-emergencies and sixteen show emergency airway management. An abstract describing a presentation by the PI at a conference at the University of Basel, Switzerland, is attached (Attachment #2).
3. Assessments of inter-rater reliability for subjective stressor scores and intubation analysis. Inter-rater reliability has been tested by having the PI and one other SME review the same 15 videotapes. The two SME's have scored one minute interval stressors ( $n=6$ ) and also completed the intubation analysis form for all 15 videotapes. Further, we have taken one videotape, and six individual SME's who have reviewed other videotapes, a" independently reviewed this one tape. Subjective ratings of stress and an intubation analysis form were completed by all six reviewers. The case chosen for review by the six SME's was thought, by the PI, to have procedural and decision-making errors, but the other reviewers were unaware of this. These data have not yet been fully analyzed but initial reviews show a remarkable inter-rater correlation. These data are to be included in the video-analysis methodology paper.
4. Further Analysis of Post-Trauma Treatment Questionnaire (PTQ) Data

As discussed in our last quarterly progress report, previous analyses of the PTQ data had utilized primarily Spearman Rank Order Correlations (i.e., non-parametric correlation). These analyses had suggested a number of interesting relationships between the more "objective" measures (patient injury severity, anesthesiologist fatigue, and anesthesiologist experience) and the more "subjective" measures (ratings of perceived stress, fatigue, teamwork, case difficulty, and own performance). More recently we have delved further into these relationships, using parametric statistical techniques when appropriate and looking at combinations of predictor variables in accounting for the variance in the subjective ratings of interest. The initial analyses had not taken account of the fact that some anesthesiologists contributed more than others to these questionnaire data (i.e., some had completed more video taped cases and thus had filled out more PTQ forms). Our more recent analyses, utilizing parametric regression, were able to partial out the variance that was associated with inter-rater differences. This resulted in some attrition in statistically significant effects, although the stronger relationships among variables that had been apparent in the Rank Order Correlations were also apparent in these subsequent regression analyses. By using a polynomial regression model, we have also now been able to delineate whether the nature of the relationships between specific pairs of variables are linear or quadratic.

We have also used Principal Components Factor Analysis in two ways. First, we have used factor analyses to learn more about the underlying dimensionality of our measures of patient injury severity, anesthesiologist fatigue, and experience. These factor analyses revealed two separable dimensions of experience (one weighted heavily on the questions addressing duration or quantity of experience and the other weighted heavily on the questions addressing the recency of relevant experience), two dimensions of fatigue (one related to the questions concerning time awake and the other related to the amount of sleep incurred when last slept), and one underlying dimension of patient injury severity (seemingly related to degree of trauma). Second, having thus reduced the group of questionnaire items concerning patient severity, fatigue, and experience to these underlying dimensions, we then calculated factor scores for each new dimension and used these as the predictor variables in regressions with the subjective rating variables as criterion variables. Of interest are differences in the pattern of significant relationships between these various underlying dimensions of the data.

Further ongoing analyses are now examining interactions among the different types of "objective" variables in accounting for the various "subjective" variables. For example, given that patient injury severity was strongly related to the ratings of case difficulty, we can ask whether experience interacts with patient severity in determining case difficulty. One might expect that relevant experience would, to some extent, mitigate the effects of patient injury severity, i.e., the more experience with a given type of injury, no matter how severe, the less difficult the case might seem. Fatigue, on the other hand, might potentiate the sense of case difficulty, given a particular severity of patient injury. By using factor scores instead of the raw questionnaire responses in these analyses, we both reduce the number of multiple regression analyses being performed and succinctly reveal any differences in such interactions between the different separable dimensions (i.e., factors) of fatigue and experience.

A detailed report of these analyses is being prepared for submission to a refereed journal and will be provided to ONR under separate cover.

5. Revision of Anesthesiology paper and re-submission in response to editorial comments.
6. A first draft paper of communication analysis on 30 videotape transcriptions has been completed. Similarly, a methodology paper describing the video acquisition system is in first draft form.

#### Administrative

The PI has had to spend approximately 100 hours in the past three months in obtaining re-approval of this protocol by the Institutional Review Board (IRB). First, the IRB requested review by legal council of the hospital and University. Next, we were required to obtain approval of the protocol under the Quality Assurance Guidelines then the PI was requested to present the rationale behind the protocol and answer questions from the staff working in the Admitting Areas and Operating Rooms of Shock Trauma.

The PI also had to present the material to the IRB, to a subcommittee of the IRB and send out questionnaires to all the participant anesthesia care providers to ensure that they were not being coerced into participation in videotaping. This has been achieved and approval was granted for us to continue videotaping.

#### Videotaping has recommenced with the objectives of:

1. Obtaining Holter (electrocardiogram rate rhythm and ST segment analysis) monitoring of the anesthesia care providers. In addition, we are measuring blood pressure non-invasively. Both Holter monitoring and BP recording have been carried out throughout the

twelve hour shift and a diary of stressful events and videotapes of patient care have also been completed (to date in three cases).

2. Completing recall questionnaires after videotaping to determine how much detail the participant anesthesia care provider can recall about the case before viewing the videotape (See Attachment #3).

We will compare subjective ratings of stress obtained by viewing videotapes with the objective measures of blood pressure, heart rate and rhythm and ST segment changes. It is hoped we will be able to validate our subjective stress scores.

#### Presentations Planned PI

1. A 1.5 hour discussion with videotape demonstration of "Trauma Patient Airway Management" at the 68th Clinical and Scientific Congress of the International Anesthesia Research Society Congress, Orlando, March 5-9.
2. Material described in Attachment #2 will be presented at the 14th Myron B. Laver International Postgraduate Course at the University of Basel, Switzerland, March 17-19.
3. LOTAS group presentation at the 6th International Trauma Anesthesia and Critical Care Society Meeting, Paris, April 22-24.
4. The PI has been invited to join Naturalistic Decision-Making Conference 2. This conference is organized by Klein Associates, Dr. Bogner (FDA), Dr. Orasanu (NASA) and others, to be held in Dayton, Ohio, June 13-15.
5. The PI will present in a panel at the International Ergonomics Association Meeting Toronto Aug 15-19th Title: Communications during emergency and elective airway management. Other panel members are Drs Gopher, Donchin, Gaba, Woods and Javaux.
6. Panel presentation on Human Error and Human Factors, at 38th Human Factors and Ergonomics Society Meeting, Nashville, TN, Oct 24-28.

The PI has also presented data at the Shock Trauma Quality Assurance meetings. The impact of this feed back to the anesthesia care providers who are participants in videotaping will be judged by review of the videotapes that we will be completing in the next quarter.

Quarterly.rep

# Intubation Analysis

The object of the intubation sequence analysis is to gather detailed information about emergency and elective tracheal intubation. We will construct a database using the enclosed questionnaire that we will complete for each intubation case (we have about 60-70 such cases).

## The database will include:

- 1) Clinical Information (indication for intubation, monitors used, difficulties occurring, drugs used)
- 2) Rules for Intubation (practices that are considered usual for "pre", "during", and "after" phases of intubation management.)
- 3) Assessment of Psychomotor Skills (and factors that impair these skills and some quantification of how much they delayed intubation.)
- 4) Decision-making/cognitive skills/Knowledge based skills (we want to tie this in with:)
  - a) our subjective ratings of stressors
  - b) the decision trees
- 5) Precise timing of major events in the intubation sequence (among different cases)
- 6) Psychological aspects of decision-making (Communication / preparation / decision tree issues.)
- 7) Data (to enable a survey of practices and identify subgroups for comparison of decision-making under stress.)

We will start by reviewing the anesthesia record and case summary and OCS summary files. Then start the video tape about 10 min before intubation was thought to occur. Watch the video making mental notes of your overall impression. Complete the intubation sequence analysis questionnaire. You will certainly have to review the 10 min before, during and after intubation sequence several times to complete the form. You must have OCS Tools running to record times.

## STATUS:

AIS \_\_\_\_\_ GCS \_\_\_\_\_ ASA \_\_\_\_\_ TAG \_\_\_\_\_

## Check all applicable categories:

- |                      |       |   |       |
|----------------------|-------|---|-------|
| 1. Teaching tape     | _____ | 4. Equipment malfunction                        | _____ |
| 2. Ergonomic issue   | _____ | 5. Man/machine problem (eg. monitor/ventilator) | _____ |
| 3. Critical incident | _____ | 6. Error detected                               | _____ |

Case # \_\_\_\_\_ Reviewed by: \_\_\_\_\_ Date: \_\_\_\_\_

O.C.S. START TIME FOR INTUBATION SEQUENCE: \_\_\_\_\_:\_\_\_\_\_:\_\_\_\_\_.  
(When anesthesiologist mask is placed on for preoxygenation)

I) Indication for Intubation (Check all that apply)

- A) \_\_\_\_\_ Airway obstruction that cannot be simply relieved  
B) \_\_\_\_\_ Hypoxemia \*  
1) \_\_\_\_\_ PaO<sub>2</sub> <80 mm Hg (SaO<sub>2</sub> <95) on mask O<sub>2</sub> or  
2) \_\_\_\_\_ PaO<sub>2</sub> <60 mm Hg (SaO<sub>2</sub> <90) on air  
C) \_\_\_\_\_ In shock \*  
1) \_\_\_\_\_ Systolic BP <80 mm Hg  
D) \_\_\_\_\_ Head injury  
E) \_\_\_\_\_ Unconsciousness  
F) \_\_\_\_\_ Lung contusion suspected  
G) \_\_\_\_\_ Surgery highly likely  
1) \_\_\_\_\_ Obvious Fx/bleeding sites, or  
2) \_\_\_\_\_ Elective case  
H) \_\_\_\_\_ Enable placement of monitors / investigations /  
peritoneal lavage, etc. in combative patient:  
AA Protocol implementation necessitates  
intubation. (Combative/patient: lack of  
cooperation appears to be the reason for  
intubation; there should be NONE of the other  
indications present.)  
J) \_\_\_\_\_ \* If not meeting these criteria please identify  
1) \_\_\_\_\_ PaO<sub>2</sub> \_\_\_\_\_  
2) \_\_\_\_\_ SBP \_\_\_\_\_

II) Monitors (Can be seen or heard.)

A) In place at time of intubation (circle below):

- 1) SaO<sub>2</sub>    2) ETCO<sub>2</sub>    3) BP    4) ECG    5) CVP    6) PA  
7) Temp    8) Nerve Stimulator    9) Other

B) During and immediately after intubation (circle below):

- 1) SaO<sub>2</sub>    2) ETCO<sub>2</sub>    3) BP    4) ECG    5) CVP    6) PA  
7) Temp    8) Nerve Stimulator    9) Other

III) Intubation Drugs (Drugs used - circle drug used and write dose.  
State if dose not recorded.)

A) Induction

- 1) Pentothal \_\_\_\_\_
- 2) Ketamine \_\_\_\_\_
- 3) Etomidate \_\_\_\_\_
- 4) Propofol \_\_\_\_\_
- 5) Other (Identify) \_\_\_\_\_

B) Muscle Relaxant

- 1) Succinylcholine \_\_\_\_\_
- 2) Pancuronium \_\_\_\_\_
- 3) Vecuronium \_\_\_\_\_
- 4) Atracurium \_\_\_\_\_
- 5) Curare \_\_\_\_\_
- 6) Other (Identify) \_\_\_\_\_

IV) Intubation Route (from record - circle below):

A)

- 1) ORAL      2) NASAL      3) CRICOTHYROID      4) TRACHE

B)      Cervical Collar Used?      Y / N

V) Intubation Assist

- A) Gum Elastic Bougie      Y / N  
B) Stylet Used      Y / N  
C) Laryngeal Mask      Y / N  
D) Other \_\_\_\_\_ Y / N (Identify)

VI) Status

A) Identify Whether: (Circle)

- 1) Elective
- 2) Semi-Emergency (not time critical but urgent)
- 3) Real-Emergency (Precipitous requirement for Intubation)

Accession For	
NTIS	CRA&I <input checked="" type="checkbox"/>
DTIC	TAB <input type="checkbox"/>
Unannounced <input type="checkbox"/>	
Justification	
By <i>Per A261458</i>	
Distribution /	
Availability Codes	
Dist	Avail and/or Special
<i>A-1</i>	

B) Identify location: (Circle)

- 1) OR                      2) AA

C) Instrumentation:

- 1) Tube size Recorded \_\_\_\_\_
- 2) Number of Attempts \_\_\_\_\_
- 3) Difficulty: (Circle)
- a) Not Difficult              b) Normal              c) Very Difficult
- 4) Blade Size \_\_\_\_\_

D) Was there a critical Incident?

(vomit / esoph intubation / hypotension etc...)

- 1) Y / N (Circle)

(If YES, explain) \_\_\_\_\_

VII) Rules of Intubation: State whether followed or not (circle)

- |               |   |   |   |
|---------------|---|---|---|
|               | 1) Pre-oxygenate.   | Y | N |
|               | 2) Head positioned before intubation  | Y | N |
|               | 3) In-line stabilization used   | Y | N |
|               | 4) Suction ready?   | Y | N |
| A) <u>Pre</u> | 5) SaO <sub>2</sub> monitored pre-induction?                                | Y | N |
|               | 6) ETCO <sub>2</sub> monitored pre-induction?                               | Y | N |
|               | 7) BP monitored pre-induction.  | Y | N |
|               | 8) HR monitored pre-induction.  | Y | N |
|               | 9) Cricoid pressure indicated.  | Y | N |
|               | 10) Cricoid pressure correctly applied.                                     | Y | N |
|               | 11) Cricoid pressure maintained until<br>cuff up and ventilated.            | Y | N |
|               | 12) IV running pre-intubation.  | Y | N |
|               | 13) Drugs given satisfactorily?   | Y | N |
|               | 14) Did anesthesiologist and/or CRNA have<br>stethoscope?                   | Y | N |
|               | 15) Was this sequence exactly followed:<br>preox, monitors, cricoid, drugs. | Y | N |
-



B) <u>During</u>	1) Intubation equipment ready?	Y	N
	2) Check neuromuscular block before DL?	Y	N
	3) If 3 attempts fail is pt re-oxygenated?	Y	N
	4) Is cuff inflated to adjust seal?	/	N
	5) Is tube insertion distance checked?	Y	N
	6) Is left and right side of chest auscultated by anesthesiologist, CRNA, or other?	Y	N
	7) Is upper abdomen auscultated by anesthesiologist, CRNA, or other?	Y	N
	8) If cuff not inflated to just seal, is cuff inflation re-checked?	Y	N
	9) Is tube taped or tied in position?	Y	N
	10) Was timeliness of intubation appropriate?	Y	N

C) <u>After</u>	1) Is the chest listened to after connected to ventilator?	Y	N
	2) Is ETCO <sub>2</sub> monitored within 2 min after intubation?	Y	N
	3) Is ETCO <sub>2</sub> monitored within 4 min after intubation?	Y	N
	4) Is NM block checked before giving non-depol block?	Y	N

#### VIII) Logistics of Intubation

A) <u>Tasks</u>	1) Was there appropriate assistance?	Y	N
	2) Was it an efficient intubation?	Y	N
	3) Did anesthesiologist specifically delegate tasks?	Y	N

#### IX) Psychomotor Skills

##### A) During Intubation Sequence (mark the analog scale)

1) Mask Ventilation	Best	_____	Worst
2) Laryngoscopy	Best	_____	Worst
3) Equipment Handling	Best	_____	Worst
4) Intubation	Best	_____	Worst
5) Post intubation checks	Best	_____	Worst

- 1) 5 Very smooth, rapid, no hitches  
4 Smooth, average speed, no hitches  
3 Average smoothness, slower than average,  
minor hitches  
2 3 attempts or more, takes longer, equipment  
failure  
1 Multiple attempts, major problems, very slow

C) What were the major psychomotor factors that impaired performance when a score of 1, 2, 3, or 4 (from above) was obtained?

(List; estimate time delay for successful intubation.)

MIN SEC

1) 00: : .00

**2)** \_\_\_\_\_ **00:**     **:**     **.00**

3) \_\_\_\_\_ 00: : .00

**X) Decision-Making/Cognitive Skills during Intubation Sequence**

A) Were there errors in decision-making? Y N  
If yes, identify:

B) Were drugs used appropriate?	Y	N
If no, identify:		

C) Were drug doses appropriate?	Y	N
If no, identify:		

D) Was intubation decision approached appropriately? Y N  
If no, identify:

E) Was equipment preparation appropriate? Y N  
If no, identify: (suggest how it impaired performance)

F) Were there contingencies present, that may have pointed the anesthesiologist down different branches of the emergency tracheal intubation decision tree? Y N  
If yes, state contingencies:

G) Was patient monitoring appropriate before induction? Y N  
If no, why:

H) What stressors were present in higher than usual levels or levels that would impair your performance if you were doing the intubation (please check)

- 1) \_\_\_\_\_ Adverse non-anesthesia team interactions
- 2) \_\_\_\_\_ Adverse anesthesia team interactions
- 3) \_\_\_\_\_ Noise
- 4) \_\_\_\_\_ Time pressure
- 5) \_\_\_\_\_ Task workload
- 6) \_\_\_\_\_ Uncertainty
- 7) \_\_\_\_\_ Overall stress levels
- 8) \_\_\_\_\_ Other stressors (list) \_\_\_\_\_

XI) Timing of events (Please use: OCS Tools time code/Computer Time)  
(If the events are not carried out state NOT DONE)

A) Before Intubation:

- 1) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start time of Pre-oxygenation using anesthesia mask? (do not state time when O<sub>2</sub> given by nasal tube O<sub>2</sub> tent or non-rebreather O<sub>2</sub> mask).
- 2) Y / N Was O<sub>2</sub> being given by other means before anesthesia mask is on?
- 3) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Time for positioning of head and neck for intubation?
- 4) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start time(s) for cricoid pressure?  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ , \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_
- 5) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Stop time(s) for cricoid pressure?  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ , \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_
- 6) \_\_\_\_\_ Number of times cricoid pressure applied?
- 7) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start time of IV induction agent?
- 8) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start time of muscle relaxant?

B) During Intubation:

- 1) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time(s) for each suctioning of  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ the airway?  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ , \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_
- 2) \_\_\_\_\_ Number of times suction catheter put in and out  
of mouth?
- 3) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time(s) for each insertion of  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ laryngoscopy?  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_
- 4) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Stop Time(s) for each insertion of  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ laryngoscopy?  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_
- 5) \_\_\_\_\_ Number of times laryngoscope put in and out of  
mouth before successful laryngoscopy?
- 6) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time(s) tracheal tube inserted in  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ mouth / into nose?  
\_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ , \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_
- 7) \_\_\_\_\_ Number of times tube put in and out of mouth  
before successful intubation?
- 8) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start time for cuff inflation?

C) After Intubation:

- 1) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Time manual ventilation recommences after  
intubation?
- 2) (Check) 1st ventilation mode after intubation.
  - a) \_\_\_\_\_ Resuscitator bag
  - b) \_\_\_\_\_ Anesthesia circuit
  - c) \_\_\_\_\_ Mechanical ventilator (without manual vent.)
- 3) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time for listening over right  
chest?
- 4) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time for listening over left  
chest?

- 5) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time for listen over upper abdomen?
- 6) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time when ventilator was connected?
- 6.5) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Time when mechanical ventilator ventilates patient
- 7) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time for listening over chest to confirm ventilator ventilating?
- 8) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time when anesthesiologist and CRNA first look for CO<sub>2</sub> signal?
- 9) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Finish Time when tube was taped?
- 10) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time when tube cuff inflation / overinflation checked?
- 11) \_\_\_\_:\_\_\_\_:\_\_\_\_.\_\_\_\_ Start Time when tube depth is checked?

**XII) Cognitive Skills in association with intubation**

**A) Laryngoscopy Performed by: (circle)**

- 1) MD Attending    2) MD Fellow    3) CRNA    4) Non-anesthesia personnel

**B) Difficulty of Intubation?**

Easy \_\_\_\_\_ Most Difficult

**C) Timeliness of the intubation in relation to the clinical situation?**

Delayed \_\_\_\_\_ Hasty

**D) Was intubation necessary?**

Necessary \_\_\_\_\_ Not Necessary

**E) Did the anesthesiologist consider all the relevant issues / complications associated with intubation?**

All issues considered \_\_\_\_\_ Lack of Planning

F) Was preparation for intubation adequate?

very adequate \_\_\_\_\_ Inadequate

G) Did the anesthesiologist use all available history / clinical exam / lab data?

Used data \_\_\_\_\_ Data clearly  
efficiently \_\_\_\_\_ not used

H) How often did the anesthesia team look at patient monitors?

Frequently \_\_\_\_\_ Infrequently

I) Was it clear from the communication heard on the video tape what the intentions were:

1) Of the anesthesia team?

Clear \_\_\_\_\_ Unclear

2) The surgical team?

Clear \_\_\_\_\_ Unclear

J) Was it clear what the patient's injuries were, and how the team was managing the patient?

Clear \_\_\_\_\_ Unclear

XIII) Communication Overview

(if a specific incident of poor communication, explain under appropriate analog scale)

A) Was needed information communicated among the anesthesia team?

Effective \_\_\_\_\_ Poor  
Communication \_\_\_\_\_ Communication

B) Between anesthesia team and surgical team?

Effective \_\_\_\_\_ Poor  
Communication \_\_\_\_\_ Communication

C) How much extraneous chatter was there?

No extraneous \_\_\_\_\_ lots of extraneous  
chatter \_\_\_\_\_ chatter

D) Were tasks delegated appropriately?

Ideal task \_\_\_\_\_ Poor task  
delegation \_\_\_\_\_ delegation

E) Were requests for information made by the anesthesia team responded to?

Always \_\_\_\_\_ Never

F) Were requests for info made by the surgeons responded to?

Always \_\_\_\_\_ Never

G) Were anesthesia management strategies communicated effectively?

Effectively \_\_\_\_\_ Poorly

H) Was surgical management strategy communicated effectively?

Effectively \_\_\_\_\_ Poorly

COMMENT here on noteworthy aspects of video!

**Video camera in the Emergency Room: To improve patient care****Colin F. Mackenzie and the LOTAS Group\*****Dept of Anesthesiology University of Maryland School of Medicine****Room 5-34 MSTF, 10 S. Pine Street, Baltimore MD 21201, USA**

There are many facets of emergency room care that can be assessed by analysis of videotapes of the real environment. For the purposes of this presentation, the facet that will be discussed is management of the airway.

To acquire data for analysis, we interfaced patient physiological monitors with the video-acquisition system in four locations at the University of Maryland Shock Trauma Center. Two locations were emergency admission bays and two were operating rooms. Vital signs (heart rate, arterial, venous or pulmonary arterial pressures, end-tidal CO<sub>2</sub>, oxygen saturation and temperature) were updated every five seconds and displayed as an overlay on the video image in real time.

We collected videotapes of 43 intubations of the trachea, including elective circumstances (n=16), semi-emergency tracheal intubation (n=11), (defined as not time critical, but urgent) and emergency tracheal intubation (n=16) (defined as a precipitous requirement for airway management). We hypothesized that detailed analysis of the intubation sequence would reveal human engineering factors, cognitive decision-making issues and quality assurance data that would be helpful in improving patient care, education and training. For the purposes of analyzing the videotapes, we considered the intubation sequence to start with preoxygenation using an anesthesia face mask and to finish ten minutes after the tracheal tube was secured in place. In this interval, we analyzed all the tasks carried out by the anesthesia care providers in preparation for, during and after intubation. In addition, we transcribed and categorized all the recognizable utterances, plotted physiological data, obtained laboratory results of blood sampling and copied the anesthesia record.

We devised a standard of practice guideline for use in task analysis of the maneuvers carried out in the intubation sequence from pre-oxygenation to tying the tracheal tube and confirming its correct placement and the adequacy of ventilation. These guidelines included 15 items before tracheal intubation, 10 during intubation and 7 occurring after passage of the tracheal tube. Each videotape was systematically examined to determine if these 32 items were carried out by the anesthesia care providers.

Communications were transcribed and coded using OCS Tools (Triangle Research Collaborative, Inc.) a commercial video analysis software package. Physiological data was graphed and out of normal range (high or low) variables were noted of all monitored parameters. Laboratory data relevant to airway management (blood gases and pH, electrolytes, hemoglobin and hematocrit) were collected from the patient database together with a copy of the anesthetic record. The anesthesia care provider also completed a two page questionnaire in relation to the videotaped patient management. The videotapes were then reviewed by the anesthesia care providers after the events. A commentary made by them was audiotaped. Every one minute throughout the intubation sequence ratings were made of seven subjective stressors including noise, non-anesthesia team interactions, anesthesia team interactions, workload time stress, uncertainty and an overall rating of stress. A second review of the videotape was made by a non-participant anesthesiologist who was nonetheless an experienced trauma anesthesiologist.



Commentary and one minute subjective stressor scores were also audiotaped by the non-participant subject matter expert (SME). The commentary was transcribed. Synchronization of the videotape, audiotrack, physiological data, OCS tools communication analyses, participant and non-participant SME commentaries was achieved by stamping all data with the same machine-readable time-code generated by a board in the 386 PC's used for data collection and analysis.

There were major differences in compliance with the standard of practice guidelines between elective, semi-emergency and emergency intubations. In emergency intubation where it is most important to check correct tracheal tube placement and adequacy of ventilation there was the longest delay in monitoring ET $\text{CO}_2$  and a dependency on others to listen to the chest to confirm adequacy of bilateral ventilation and lack of breath sounds in the abdomen.

Communications were different during emergency than elective tracheal intubation. There were more utterances during emergency than elective intubations and more verbalizations before than either during or after intubation. There were more comments conveying strategies or plans and verbalizations giving directives, instructions or delegating tasks in emergency than elective tracheal intubations.

Physiological data collection showed that during emergency tracheal intubation, there were more out of range variables than during elective intubation. Written Anesthesia records bore little resemblance to summary data acquired directly from the patient physiological monitors.

Several changes have been implemented since analysis of these videotapes. Firstly, it was apparent that in the patient admission bay the pulse oximeter/ $\text{CO}_2$  analyzer (Nellcor 1000) was inappropriately positioned behind several other pieces of equipment. This has been repositioned. The delay in monitoring ET $\text{CO}_2$  occurred because there was no  $\text{CO}_2$  analysis port included in the resuscitator bag used for emergency resuscitation. This has been rectified. Failure to listen to the chest and abdomen after tracheal intubation has been addressed by presentation to the anesthesia care providers at Quality Assurance (QA) meetings. Reduction of stressors and improved communication are important areas that may result in better performance. We are currently studying the effects of stress on decision-making in this environment. We are also assessing whether changes in the monitor position, the end-tidal  $\text{CO}_2$  port and the QA presentation have changed clinical practice.

### Supported by ONR Grant N00014-91-J-1540

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**POST-VIDEO RECALL QUESTIONNAIRE Version 1.2**

Attachment 3

MIEMSS# \_\_\_\_ Date \_\_\_\_/\_\_\_\_/\_\_\_\_ Time since end of case was videotaped \_\_\_\_/\_\_\_\_ Min/Hours  
Your SS# \_\_\_\_ How long since intubation was completed? \_\_\_\_/\_\_\_\_ Min/Hours

Pre oxygenation by anesthesia mask? Y / N  
Approximate duration of pre oxygenation? \_\_\_\_ min \_\_\_\_ sec  
Circle monitors in use before intubation  
SaO<sub>2</sub> ETCO<sub>2</sub> BP ECG CVP PA Temp Nerve Stim Other  
In-line stabilization of C-spine? Y / N N/A  
Suction ready and immediately available? Y / N  
Suction used? Y / N  
Cricoid pressure used? Y / N N/A  
Cricoid pressure applied early or late (=after induction) (circle which)  
Cricoid pressure applied until cuff inflated ? Y / N  
Intubation equipment ready by patient's head? Y / N  
Neuromuscular block check before laryngoscopy? Y / N  
Did the patient cough, buck or move at any time during intubation? Y / N  
How many times did you pass the laryngoscopy? # \_\_\_\_  
If more than 1 attempt did you pre-oxygenate between? Y / N  
Did any O<sub>2</sub> desat occur (<95%) during intubation attempts? Y / N  
Was cuff inflated to 'just seal'? Y / N  
Was tube insertion distance checked? Y / N  
Was left and right side of chest auscultated? Y / N  
Was upper abdomen auscultated? Y / N  
Did you personally listen to the chest and abdomen (circle which) Y / N  
If cuff not inflated to just seal was it rechecked Y / N  
Was the tube taped or tied in position (circle which)  
How long did intubation take from preoxygenated cease to  
cuff inflation? \_\_\_\_ min \_\_\_\_ sec  
How long after induction of anesthesia was intubation achieved? \_\_\_\_ min \_\_\_\_ sec  
How long did intubation take from preoxygenation cease to tie or  
tape tube completion? \_\_\_\_ min \_\_\_\_ sec  
Was there any oral trauma? Y / N  
Do you recall HR, BP, SaO<sub>2</sub> or ETCO<sub>2</sub> values immediately before intubation?  
HR = BP = SaO<sub>2</sub> = ETCO<sub>2</sub> =  
Do you recall HR, BP, SaO<sub>2</sub> values immediately after intubation when  
tying or taping tube was just completed?  
HR = BP = SaO<sub>2</sub> = ETCO<sub>2</sub> =  
Did you protect the eyes? Y / N  
with tape / pads / eye ointment (circle which)  
Did you measure temperature? Y / N  
How long after anesthesia induction did you first record temp? \_\_\_\_ min \_\_\_\_ sec  
What was the first recorded temp \_\_\_\_ °C?  
When did you first look at the CO<sub>2</sub> monitor?  
<2 min after intubation >2 min after intubation (circle which)  
Please make comments about factors that may have affected recall  
eg. Stress, Fatigue, Excess Noise, Multiple Admissions, Critical Incident, Others(Identify below)

**Please use back of form for comments.**